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ALLAN INVESTMENT MANAGEMENT LTD

for professional independent financial advice

Client Servicing Proposition

“We believe the only way to work with our clients is to provide professional independent financial advice”

Retained Client Service

**Comprehensive Financial Reviews
Annually, Half yearly or Quarterly**

File Maintenance

Providing you with ongoing advice and information

Freepost and Freephone Service

Liaison on your behalf with other professionals

Control of Tax Affairs

Transactional Only Service

Annual Benefit Statement

File Maintenance

Providing you with information in relation to the product transacted initially

Retained Client Service

Peace of mind for Advice, Implementation and Review

Ongoing advice – review procedures including face to face portfolio review meetings and general telephone based advice – Annually, Half yearly or Quarterly review of investments and deposit accounts as agreed and organisation of any changes as necessary to maximise returns, including deposit based accounts - many banks and building societies continually change their accounts - you can lose out substantially by not keeping up to date. We monitor them for you. Provision of latest news and views from the financial services market during regular meetings

Saving you time – maintaining up to date records of your portfolio of policies and investments

Keeping you informed – obtaining up-to-date information and valuations on existing contracts

Continued Support - Freephone telephone number and supplies of freepost envelopes for ease and speed of communication

Contact with other Professional Advisers - Liaising with your, and introduction to, other professional advisers as necessary to organise various trust documents/Wills and provide details as required

Tax doesn't have to be taxing - Control and organisation of your tax affairs** - this is handled for simple matters in house and for more complicated cases by our accountants

* Dependent on attitude to risk reviews will be agreed on a quarterly or half yearly basis.

** Please note for the self employed and directors of companies, this is done in conjunction with your accountants and not handled by ourselves.

Retained Client Fees

Fees are payable monthly, quarterly, half yearly or annually and may be paid by the client direct or by the provider from ongoing Adviser Remuneration, or from a combination of both. Fees are calculated at:

1% of the current value of the investment portfolio

This is subject to a minimum fee of £750 per annum which will be advised to you in writing before continuing.

Transactional Only Service

Saving you time - we record all transactions effected on your behalf

Keeping you informed – if relevant to the product/s transacted then annual benefit statements will be sent to you direct from the provider with copies scanned to our office system for future reference if necessary

Providing you with information – we are available should you have any queries at any time in the future with regard to the product/s transacted initially

Transactional Only Service Fees

There is no ongoing fee payable for Transactional Only business. However, where the product transacted is insurance based, the provider may pay a nominal amount of Renewal Commission on a regular basis during the lifetime of the contract, providing the plan remains in force. This will be fully disclosed to you prior to any transactions being carried out.